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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/829,951      | 04/11/2001  | John Chad Parry      | 262/117             | 7691             |

22249 7590 01/31/2005

LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071

EXAMINER

BASEHOAR, ADAM L

ART UNIT

PAPER NUMBER

2178

DATE MAILED: 01/31/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

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|                              |                               |                                  |  |
|------------------------------|-------------------------------|----------------------------------|--|
| <b>Office Action Summary</b> | Application No.<br>09/829,951 | Applicant(s)<br>PARRY, JOHN CHAD |  |
|                              | Examiner<br>Adam L Basehoar   | Art Unit<br>2178                 |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 April 2001.
- 2a) ☐ This action is FINAL.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-29 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 11 April 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>06/26/01</u> . | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. This action is responsive to communications: The Application filed on 04/1/01 and the IDS filed on 06/26/01.

2. Claims 1-29 are pending in the case. Claims 1, 18, 23, and 29 are independent claims.

#### *Double Patenting*

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

4. Claims 1-17 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-17 of copending Application No. 09/829952. Although the conflicting claims are not identical, they are not patentably distinct from each other because, while the instant application does not teach a business method, it would have been obvious to one of ordinary skill in the art at

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the time of the invention to have used said method as a business method to generate a tangible profit.

5. This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

***Claim Rejections - 35 USC § 102***

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

7. Claims 1-11, 17-20, and 23-29 are rejected under 35 U.S.C. 102(e) as being anticipated by Brown et al (US: 6,336,116 01/01/02).

-In regard to independent claims 1 and 18, Brown teaches a hosted application service comprising:

providing an instruction (code)(columns 2 & 10, lines 25-28 & 10-32) to be embedded in a customer document (Fig. 8: 61) wherein said instruction retrieves hosted service information from an application service provider system (column 2, lines 29-42) (Fig. 9)

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and seamlessly integrates said information into said customer document at a user location (Fig. 8: 61 & 12A-C).

-In regard to dependent claim 2, Brown teaches wherein said document does not reside on said application server (column 2, lines 9-15).

-In regard to dependent claim 3, Brown teaches wherein said application service provider includes a server (column 14-18)(Fig. 9: 66 & 4), HTML web page document (columns 8 & 10, lines 3-5 & 10-32), and a user web browser (column 8, lines 3-8).

-In regard to dependent claim 4, Brown teaches wherein said instruction retrieves hosted application service information by initiating a service resource request (search query) from said provider server (column 2, lines 29-42).

-In regard to dependent claim 5, Brown teaches wherein said instruction further directs dynamic information (search query & provider identifier information)(column 2, lines 30-36) to be passed to said application service provider server during said resource request (column 2, lines 30-36).

-In regard to dependent claim 6, Brown teaches wherein said dynamic information was passed to said application service provider using a query string (column 2, lines 32-36).

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-In regard to dependent claim 7, Brown teaches wherein said dynamic information was passed to said application service provider using a cookie (equivalent to passing the provider identifier and user query to the application service provider)(column 2, lines 33-36).

-In regard to dependent claim 8, Brown teaches wherein said hosted application service information (documents and URL's) was determined in response to said dynamic information (column 2, lines 36-42).

-In regard to dependent claim 9, Brown teaches wherein said application service information comprises a link (documents or URL's to documents)(column 2, lines 36-42) to a document residing on said customer server.

-In regard to dependent claim 10, Brown teaches wherein said link was coded so as to preserve dynamic information by including the provider identifier (column 2, lines 34-40).

-In regard to dependent claim 11, Brown teaches wherein said link was coded so as to preserve information using session variables by including the session variable provider identifier (column 2, lines 34-40).

-In regard to dependent claim 17, Brown teaches wherein said hosted application service information comprises a second instruction that retrieves new hosted service

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information (a second hosted search) from an application service providers server and integrates said new information into said customer document (Fig. 12A: 89) at said user location (columns 9 & 10, lines 67 & 1-2).

In regard to dependent claim 19, Brown teaches wherein said document is an HTML web page (columns 8 & 10, lines 3-5 & 10-32) that does not reside on the application service provider server (column 2, lines 10-12) and said user location comprises a browser (column 8, lines 3-8).

In regard to dependent claim 20, Brown teaches wherein said instruction directs dynamic information (user query and provider identifier) to be passed to said application service provider during said resource request (column 2, lines 32-39) and said hosted application service information was determined in response to said dynamic information (column 2, lines 39-43).

-In regard to independent claim 23, Brown teaches a business method providing: providing an administrative interface via a computer network (Fig. 6A-1-2 & Fig. 6B-1-2); providing, via said administrative interface, an instruction (code) to be embedded in a customer document (column 2, lines 25-28), and providing a hosted service (site-specific searching) in response to said instruction (column 2, lines 10-15).

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-In regard to dependent claim 24, Brown teaches wherein said instruction (code)(column 2, lines 25-28) retrieves hosted service information (search capabilities) from an application service provider server (host computer system)(Fig. 9: 66) and integrates said information into said customer document at the user location (Fig. 8: 61).

-In regard to dependent claim 25, Brown teaches the interface (Fig. 6A-1 & 6A-2) comprises tools adapted to customize the appearance of said information within said customer document by limiting the scope of the search and indexing of the registered documents (Fig. 6B-1 & 6B-2) as well as providing the instruction to be embedded at any user location in the customer document (columns 2 & 10, lines 25-28 & 132)(Fig. 7 & 8: 61).

-In regard to dependent claim 26, Brown teaches wherein said interface comprises a customer account enrollment form (column 6, lines 14-21)(Fig. 6A-1 & 6A-2).

-In regard to dependent claim 27, Brown teaches wherein said hosted application service comprises a hosted site search engine (column 2, lines 10-15)(Fig. 8: 61).

-In regard to dependent claim 28, Brown teaches wherein said business method further comprises the step of providing a robot to index a customer web site (columns 2 and 6, lines 21-24 & 55-58)(Fig. 3: 35).

-In regard to independent claim 29, Brown teaches a business method comprising:

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providing, upon request of a user for a web page (column 8, lines 3-8), hosted application service information from a remote location (column 2, lines 10-15), said information being integrated into a customer document at a user location (column 2, lines 25-28) such that it appears to the user that the information came from a network document of said customer (column 2, 29-42)(Fig. 8: 61 & 12A-C).

***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 12-16 and 21-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brown et al (US: 6,336,116 01/01/02).

-In regard to dependent claims 12 and 21, Brown teaches wherein the provider identifier preserved the session information in the form of a web cookie (column 2, lines 33-36) as taught above in claims 7 and 11. Web cookies are well known in the art to provide data persistent session variables such as a user profile or preferences between a user's browser and a web server. Brown does not teach wherein said link was coded to preserve information using the data persistence technique of URL munging. It would have been obvious to one of ordinary skill in the art at the time of the invention for Brown to have used URL munging to preserve session information, because URL/URI munging, the well known process of storing session identifiers and user variables as part

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of a web site's URL, would have reduced the notoriously well known privacy and security concerns regarding the cookie data in Brown. Said concerns, which could result in some web site users disabling cookies on their browsers and disabling the search capabilities in the process, would thus be averted.

-In regard to dependent claim 13, Brown teaches where said instruction was an HTML tag (column 10, lines 10-32).

-In regard to dependent claim 14, Brown teaches wherein the service resource was code (column 10, lines 10-32). Brown does not teach wherein said service resource was a JavaScript file. It would have been obvious to one of ordinary skill in the art at the time of the invention for the service resource of Brown to have been a JavaScript file, because it was notoriously well known in the art that JavaScript was a quicker and simpler language for enhancing Web pages and servers, wherein JavaScript is embedded as a small program (code) in a web page that was interpreted and executed by the Web client to provide increased functionality, which in the case of Brown was the hosted site search engine.

-In regard to dependent claims 15 and 16, Brown teaches wherein said link was a text input (Fig. 8: 61) HTML form (column 10, lines 10-32) for a search engine (column 8, lines 9-11)(Fig. 8: 61).

-In regard to dependent claim 22, Brown teaches wherein said HTML page (columns 8 & 10, lines 3-5 & 10-32) comprises a link to a document residing on a customer server (column 2, lines 36-42) and said link is coded to preserve dynamic information using the provider identifier as a cookie. Web cookies are well known in the art to provide data persistent session variables such as a user profile or preferences between a user's browser and a web server. As discussed above in claim 12, Brown does not teach wherein said link was coded to preserve information using the data persistence technique of URL munging. It would have been obvious to one of ordinary skill in the art at the time of the invention for Brown to have used URL munging to preserve session information, because URL/URI munging, the well known process of storing session identifiers and user variables as part of a web site's URL, would have reduced the notoriously well known privacy and security concerns regarding the cookie data in Brown. Said concerns, which could result in some web site users disabling cookies on their browsers and disabling the search capabilities in the process, would thus be averted.

### ***Conclusion***

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

|                  |         |                 |
|------------------|---------|-----------------|
| US: 2003/0105807 | 06-2003 | Thompson et al. |
| US: 2003/0163586 | 08-2003 | Schnetzler      |
| US: 6,208,975    | 03-2001 | Bull et al.     |

|               |         |                 |
|---------------|---------|-----------------|
| US: 6,278,993 | 08-2001 | Kumar et al.    |
| US: 6,725,222 | 04-2004 | Musgrove et al. |
| US: 6,282,567 | 08-2001 | Finch et al.    |
| US: 6,651,065 | 11-2003 | Brown et al.    |

Google's, "Google's Custom WebSearch," 11/09/00, pp. 1-3,

<http://web.archive.org/web/20001109054600/http://www.google.com/services/custom.html>

Picosearch's, "Search Hosting Service," 03/01/00, pp. 1-4,

<http://web.archive.org/web/20000303035644/www.picosearch.com/whatis.html> &

<http://web.archive.org/web/20000303062513/www.picosearch.com/whatpeoplesay.html>

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Adam L Basehoar whose telephone number is (703) 305-7212. The examiner can normally be reached on M-F: 7:30am - 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Heather Herndon can be reached on (703) 308-5186. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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ALB

A handwritten signature in black ink, appearing to read 'S. Hong', with a stylized flourish at the end.

STEPHEN S. HONG  
PRIMARY EXAMINER

|   |                                |                          |
|---|--------------------------------|--------------------------|
| FORM PTO-1449   | ATTY. DOC. NO.<br>262/117      | SERIAL NO.<br>09/829,951 |
| LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S<br>INFORMATION DISCLOSURE STATEMENT | APPLICANT:<br>John Chad Parry  |                          |
| (Use several sheets if necessary)   | FILING DATE:<br>April 11, 2001 | GROUP:<br>2154           |

| U.S. PATENT DOCUMENTS |    |                 |           |         |       |              |                |
|-----------------------|----|-----------------|-----------|---------|-------|--------------|----------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER | DATE      | NAME    | CLASS | SUB<br>CLASS | FILING<br>DATE |
| ALB                   | AA | 6,175,830       | Jan. 2001 | Maynard | 707   | 5            | May 1999       |
|                       | AB |                 |           |         |       |              |                |
|                       | AC |                 |           |         |       |              |                |
|                       | AD |                 |           |         |       |              |                |
|                       | AE |                 |           |         |       |              |                |
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|                       | AG |                 |           |         |       |              |                |
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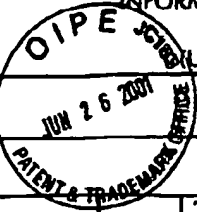
| FOREIGN PATENT DOCUMENTS |    |                 |      |         |       |              |                       |  |
|--------------------------|----|-----------------|------|---------|-------|--------------|-----------------------|--|
| EXAMINER<br>INITIAL      |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES NO |  |
|                          | AI |                 |      |         |       |              |                       |  |
|                          | AJ |                 |      |         |       |              |                       |  |
|                          | AK |                 |      |         |       |              |                       |  |

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| ALB  | AO | Web site search engine box online for your website searches   SiteMiner site search engine, MyComputer.com [online], date of publication unknown [retrieved on 2001-05-16]. Retrieved from the Internet: <URL: <a href="http://siteminer.mycomputer.com">http://siteminer.mycomputer.com</a> > |
| ALB  | AP | ht://Dig Table of Contents, [online], edited last on 2001-02-22 [retrieved on 2001-05-16]. Retrieved from the Internet: <URL: <a href="http://www.htdig.org">http://www.htdig.org</a> >  |

DC # 6191v2

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| EXAMINER:<br><i>Adam J. Baseler</i>  | DATE CONSIDERED:<br>05/19/04 |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant |                              |

|  |                                |                          |
|--|--------------------------------|--------------------------|
| FORM PTO-1449  | ATTY. DOC. NO.<br>262/117      | SERIAL NO.<br>09/829,951 |
| LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S<br>INFORMATION DISCLOSURE STATEMENT<br>(Use several sheets if necessary) | APPLICANT:<br>John Chad Parry  |                          |
|  | FILING DATE:<br>April 11, 2001 | GROUP:<br>2154           |



| OTHER DOCUMENTS CONT. (Including Author, Title, Date, Pertinent Pages, etc.) |    |   |
|--|----|---|
| ALB  | AQ | Thunderstone: Home, Thunderstone - EPI Inc. [online], publication date unknown [retrieved on 2001-05-16]. Retrieved from the Internet: <URL: <a href="http://www.thunderstone.com">http://www.thunderstone.com</a> >  |
| ALB  | AR | Free Search Engine PicoSearch.com, PicoSearch LLP [online], last edited 2001-05-03 [retrieved on 2001-05-16]. Retrieved from the Internet: <URL: <a href="http://www.picosearch.com">http://www.picosearch.com</a> >  |
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| ALB  | AU | FREE polls and site tools from the leader freepolls.com, Polls, forums, guestbooks, produc.. , VantageNet, Inc. [online], edited last on 2001-05-03 [retrieved on 2001-05-16]. Retrieved from the Internet: <URL: <a href="http://www.freetools.com">http://www.freetools.com</a> >         |
| ALB  | AV | Searchbutton Site Search and Reporting Tools - Maximize your web site investment with s..., Searchbutton, Inc. [online], last edited on 2001-03-29 [retrieved on 2001-05-16]. Retrieved from the Internet: <URL: <a href="http://www.searchbutton.com">http://www.searchbutton.com</a> >    |
| ALB  | AW | SWISH-Enhanced, Hewlett-Packard Company, Kevin Hughes [online], originally published March 11 1994 [retrieved on 2001-05-24]. Retrieved from the Internet: <URL: <a href="http://sunsite.berkeley.edu:4444/">http://sunsite.berkeley.edu:4444/</a> >  |

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| EXAMINER:<br><i>Adam J. Baseline</i>   | DATE CONSIDERED:<br><i>05/19/04</i> |
| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant |                                     |



|                                   |                                       |  |             |
|-----------------------------------|---------------------------------------|--|-------------|
| <b>Notice of References Cited</b> | Application/Control No.<br>09/829,951 | Applicant(s)/Patent Under<br>Reexamination<br>PARRY, JOHN CHAD |             |
|                                   | Examiner<br>Adam L Basehoar           | Art Unit<br>2178   | Page 1 of 1 |

**U.S. PATENT DOCUMENTS**

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Name              | Classification |
|---|---|--|-----------------|-------------------|----------------|
|   | A | US-2003/0105807                                  | 06-2003         | Thompson et al.   | 709/203        |
|   | B | US-2003/0163586                                  | 06-2003         | Schnetzler, Steve | 709/246        |
|   | C | US-6,208,975                                     | 03-2001         | Bull et al.       | 705/14         |
|   | D | US-6,278,993                                     | 08-2001         | Kumar et al.      | 707/3          |
|   | E | US-6,725,222                                     | 04-2004         | Musgrove et al.   | 707/10         |
|   | F | US-6,282,567                                     | 08-2001         | Finch et al.      | 709/219        |
|   | G | US-6,336,118                                     | 01-2002         | Brown et al.      | 707/10         |
|   | H | US-6,651,065                                     | 11-2003         | Brown et al.      | 707/10         |
|   | I | US-  |                 |                   |                |
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**NON-PATENT DOCUMENTS**

| * |   | Include as applicable: Author, Title Data, Publisher, Edition or Volume, Pertinent Pages)  |
|---|---|--|
|   | U | Google's, "Google's Custom WebSearch," 11/09/00, pp. 1-3,<br><a href="http://web.archive.org/web/20001109054600/http://www.google.com/services/custom.html">http://web.archive.org/web/20001109054600/http://www.google.com/services/custom.html</a>   |
|   | V | Picosearch's, "Search Hosting Service," 03/01/00, pp. 1-4,<br><a href="http://web.archive.org/web/20000303035644/www.picosearch.com/whatis.html">http://web.archive.org/web/20000303035644/www.picosearch.com/whatis.html</a> &<br><a href="http://web.archive.org/web/20000303062513/www.picosearch.com/whatpeoplesay.html">http://web.archive.org/web/20000303062513/www.picosearch.com/whatpeoplesay.html</a> |
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